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 Algorithms Theory, Solution for Assignment 1
 

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**Exercise 1.1 - Minimum and Maximum**

```

1. function MinMaxIt(Array a) begin
    min = a[1]
    max = a[1]
    foreach elm in a do begin
        if (elm < min) then min = elm
        if (max < elm) then max = elm
    end
    return (min, max)
end
  
```

For each element in  $a$ ,  $<$  is called two times. Hence, the number of such calls is  $2 \cdot a.length = 2 \cdot n$ .

$$f_{MinMaxIt}(n) = 2 \cdot n \quad (1)$$

```

2. function min(a, b) begin
    if (a < b) return a else return b
end
function max(a, b) begin
    if (a < b) return b else return a
end
  
```

```

function MinMax(a) begin
    if (a.length > 2) begin
        mid = a.length / 2
        al, ar = split(a, mid)
        minl, maxl = MinMax(al)
        minr, maxr = MinMax(ar)
        return (min(minl, minr), max(maxl, maxr))
    end else begin
        l = 0
        r = a.length - 1
        if (a[l] < a[r]) then
            return (a[l], a[r])
        else
            return (a[r], a[l])
        end
    end
end
  
```

The runtime of the algorithm is:

$$f_{MinMax}(n) = \begin{cases} 2 \cdot f_{MinMax}(n/2) + 2 & \text{if } n = 2^i, i \geq 2 \\ 1 & \text{if } n = 2^i, i = 1 \end{cases}$$

We prove  $F(n) = \frac{3}{2}n - 2 = f_{MinMax}(n)$  by induction over  $i$ .

**base case**  $i = 1, n = 2$ , hence  $f_{MinMax}(2) = 1$ .

$$F(2) = \frac{3}{2} \cdot 2 - 2 = 1$$

**induction step**  $i \rightarrow i+1, n \rightarrow 2 \cdot n$ . Our induction hypothesis states  $f_{MinMax}(2^i) = F(2^i)$ .  
We prove  $f_{MinMax}(2^{i+1}) = F(2^{i+1})$ .

$$\begin{aligned} f_{MinMax}(2^{i+1}) &= f_{MinMax}(2 \cdot n) \\ &= 2 \cdot f_{MinMax}(n) + 2 \\ &=^{I.H.} 2 \cdot F(n) + 2 \\ &= 2 \cdot \left( \frac{3}{2} \cdot n - 2 \right) + 2 \\ &= \frac{3}{2} \cdot 2n - 4 + 2 \\ &= F(2 \cdot n) = F(2^{i+1}) \end{aligned}$$

3. The first algorithm has runtime  $f'_{MinMaxIt}(n) = 3 \cdot n$ , because in every iteration a comparison is needed to break the loop if the end of the array is reached.

The runtime for the divide-and-conquer algorithm is:

$$f'_{MinMax}(n) = \begin{cases} 2 \cdot f'_{MinMax}(n/2) + 3 & \text{if } n = 2^i, i \geq 2 \\ 2 & \text{if } n = 2^i, i = 2 \end{cases}$$

We prove that  $F'(n) = \frac{5}{2}n - 3 = f'_{MinMax}(n)$  for  $n = 2^i, i \geq 1$  by induction over  $i$ .

**base case**  $i = 1, n = 2$ , hence  $f'_{MinMax}(n) = 2 = F'(2)$ .

**induction step**  $i \rightarrow i+1, n \rightarrow 2 \cdot n$  It holds  $f'_{MinMax}(n) = F'(n)$ , we show that  $f'_{MinMax}(2n) = F'(2n)$ .

$$\begin{aligned} f'_{MinMax}(2n) &= 2 \cdot f'_{MinMax}(n) + 3 \\ &=^{I.H.} 2 \cdot F'(n) + 3 \\ &= 2 \cdot \left( \frac{5}{2}n - 3 \right) + 3 \\ &= \frac{5}{2} \cdot 2n - 3 \\ &= F'(2n) \end{aligned}$$

## Exercise 1.2 - Closest Pair

- Sort  $(p_3, p_8, p_4, p_5, p_7, p_2, p_6, p_1)$  according to their x-coordinates in non-decreasing order.
- $mindist(S)$ :  
 $S_l = \{p_3, p_8, p_4, p_5\}$   
 $S_r = \{p_7, p_2, p_6, p_1\}$   
 $d_l = mindist(S_l) = \sqrt{10}$   
 $d_r = mindist(S_r) = \sqrt{10}$   
distance bound  $d = \min(d_l, d_r) = \sqrt{10}$   
Points within bound:  $(p_4, p_5, p_7)$   
Points with minimal distance:  $(p_4, p_7)$  distance:  $\sqrt{5}$

- $\text{mindist}(S_l)$ :  
 $S_{ll} = \{p_3, p_8\}$   
 $S_{lr} = \{p_4, p_5\}$   
 $d_{ll} = \text{mindist}(S_{ll}) = \sqrt{10}$   
 $d_{lr} = \text{mindist}(S_{lr}) = \sqrt{101}$   
distance bound  $d = \min(d_{ll}, d_{lr}) = \sqrt{10}$   
x Points within bound:  $(p_4, p_8)$ , distance:  $\sqrt{180}$
- $\text{mindist}(S_r)$ :  
 $S_{rl} = \{p_7, p_2\}$   
 $S_{rr} = \{p_6, p_1\}$   
 $d_{rl} = \text{mindist}(S_{rl}) = \sqrt{10}$   
 $d_{rr} = \text{mindist}(S_{rr}) = \sqrt{225}$   
distance bound  $d = \min(d_{rl}, d_{rr}) = \sqrt{10}$   
Points within bound:  $(p_2, p_6)$ , distance:  $\sqrt{50}$
- $\text{mindist}(S_{ll})$  (Base case):  
Points with minimal distance:  $(p_3, p_8)$ , distance:  $\sqrt{10}$
- $\text{mindist}(S_{lr})$  (Base case):  
Points with minimal distance:  $(p_4, p_5)$ , distance:  $\sqrt{101}$
- $\text{mindist}(S_{rl})$  (Base case):  
Points with minimal distance:  $(p_7, p_2)$ , distance:  $\sqrt{10}$
- $\text{mindist}(S_{rr})$  (Base case):  
Points with minimal distance:  $(p_6, p_1)$ , distance:  $\sqrt{153}$

### Exercise 1.3 - Geometric Divide-and-Conquer

1. Evaluate for sets  $S_1, S_2$   
 $\text{ReportCuts}(S_1) = \{(A, c), (B, b), (B, c)\}$   
 $\text{ReportCuts}(S_2) = \{(C, f), (D, f), (D, g), (E, e)\}$
2.  $L(S_1) = \{A\}$   
 $L(S_2) = \{D\}$   
 $R(S_1) = \emptyset$   
 $R(S_2) = \{C, E\}$   
 $V(S_1) = \{a, b, c, d\}$   
 $V(S_2) = \{e, f, g, h\}$   
 $L(S) = L(S_1) \setminus R(S_2) \cup L(S_2) = \{A, D\}$   
 $R(S) = R(S_2) \setminus L(S_1) \cup R(S_1) = \{C, E\}$
3. Merge step:  $M = M_1 \cup M_2$   
We use a predicate  $\text{Cut}(P, p)$  to express that the  $y$  value of  $P$  is between the  $y$  values of  $p$  (i.d.  $p.y \leq P.y \leq p.y$ ). then:  
 $M_1 = \{(P, p) \mid P \in R(S_2) \setminus L(S_1) \wedge p \in V(S_1) \wedge \text{Cut}(P, p)\} = \{(C, b), (C, d), (E, a)\}$   
 $M_2 = \{(P, p) \mid P \in L(S_1) \setminus R(S_2) \wedge p \in V(S_2) \wedge \text{Cut}(P, p)\} = \{(A, h)\}$   
Finally:  
 $\text{ReportCuts}(S) = \text{ReportCuts}(S_1) \cup \text{ReportCuts}(S_2) \cup M$

### Exercise 1.4 - Closest Pair - Memory

Let  $M$  be the amount of memory the function `NächstesPaarRec` needs for  $n$  points. The function `NächstesPaarRec` calls `Merge`, which itself needs linear space. Hence we can assume  $M \in \Omega(n)$ .

To show  $M \in \Theta(n)$  it is sufficient to show  $M \in \mathcal{O}(n)$ .

An upper bound for the memory requirement of `NächstesPaarRec` is:

$$S(n) = \begin{cases} S(\frac{n}{2}) + c_1 n & \text{if } n > 2 \\ c_2 & \text{if } n \leq 2 \end{cases}$$

The base case,  $n \leq 2$ , needs constant space  $c_2$ , which is trivial.

In the case  $n > 2$  the function has two recursive calls. An upper bound for each recursive call is  $S(n/2)$ . Because the two calls happens after each other, only one of these factors  $S(n/2)$  is needed in our upper bound for  $S(n)$ .

The function calls the `Merge` function, which needs linear space ( $c'_1 n$ ). The function `NächstesPaarRec` itself needs some constant space  $c''_1$ . We define  $c_1 := c'_1 + c''_1$ . Hence it holds:

$$c'_1 n + c''_1 \leq c_1 n \quad .$$

Thus,  $S(n) \geq M(n)$  for all  $n \in \mathbb{N}$ .

We show  $S \in \mathcal{O}(n)$ , which will imply  $M \in \mathcal{O}(n)$ . To show  $S \in \mathcal{O}(n)$  it is sufficient to show:

$$\exists c : \forall n \in \mathbb{N} : S(n) \leq cn$$

The hint in the exercise allows us to prove the above equation for  $n \in \{2^i \mid i \in \mathbb{N}, i \geq 1\}$  only.

We choose  $c = 2 \cdot (c_1 + c_2)$  and proceed by induction over  $i$ .

**base case**  $i = 1, n = 2$

$$S(n) = c_2 \leq 2 \cdot (c_1 + c_2) = c \leq cn$$

**induction step**  $i \rightarrow i + 1, n \rightarrow 2n$

$$\begin{aligned} S(2^{i+1}) &= S(2n) \\ &= S(n) + 2c_1 n \\ &\stackrel{\text{I.H.}}{\leq} cn + 2c_1 n \\ &= 2(c_1 + c_2)n + 2c_1 n \\ &= 2n(c_1 + c_2 + c_1) \\ &\leq 2n(2 \cdot (c_1 + c_2)) = 2c \cdot n \end{aligned}$$