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# Algorithms and Datastructures Winter Term 2022 Sample Solution Exercise Sheet 8

Due: Wednesday, December 21st, 2pm

#### Exercise 1: Minimum Spanning Trees

(10 Points)

Let G = (V, E, w) be an undirected, connected, weighted graph with pairwise distinct edge weights.

(a) Show that G has a *unique* minimum spanning tree.

(5 Points)

(b) Show that the minimum spanning tree T' of G is obtained by the following construction:

Start with  $T' = \emptyset$ . For each cut in G, add the lightest cut edge to T'.

(5 Points)

### Sample Solution

- (a) Let T and T' be two minimum spanning trees with edges  $e_1, \ldots, e_{n-1}$  and  $e'_1, \ldots, e'_{n-1}$ , sorted by increasing weight. Assume we have  $T \neq T'$ . Let j be the largest index for which  $e_j \neq e'_j$ . As the weights are pairwise distinct, we also have  $w(e_j) \neq w(e'_j)$ . W.l.o.g. let  $w(e_j) < w(e'_j)$ . The graph  $T' \setminus \{e'_j\}$  has two connected components with nodes S and  $V \setminus S$ . Let  $e_k$  be an edge in T connecting S and  $V \setminus S$ . As T' contains only one edge between S and  $V \setminus S$ , it must hold  $k \leq j$  (as  $e_k = e'_k$  for k > j). As  $(T' \setminus \{e'_j\}) \cup \{e_k\}$  is a spanning tree and  $w(e'_j) > w(e_j) \geq w(e_k)$ , it has a smaller weight than T', contradicting the fact that T' is minimal.
- (b) Let T be the MST of G and T' the set containing the lightest cut edges.

 $T' \subseteq T$ : Let  $s \in T'$ , i.e., s is the lightest cut edge of a cut  $(S, V \setminus S)$  in G. Assume  $s \notin T$ . In T there is a (unique) path from x to y. Let e be an edge on that path which is a cut edge of  $(S, V \setminus S)$ . According to the assumption we have  $e \neq s$  and s is the (unique) lightest cut edge of  $(S, V \setminus S)$ , so we have w(s) < w(e). It follows that the spanning tree  $(T \setminus \{e\}) \cup \{s\}$  is lighter than T contradicting that T is an MST.

 $T \subseteq T'$ : Let  $e \in T$ . The graph  $T \setminus \{e\}$  has two connected components which define a cut in G. With an exchange argument as above one can show that e is the (unique) lightest cut edge of this cut, i.e., we have  $e \in T'$ .

## Exercise 2: Travelling Salesperson Problem (10 + 5\* Points)

Let  $p_1, \ldots, p_n \in \mathbb{R}^2$  be points in the euclidean plane. Point  $p_i$  represents the position of city i. The distance between cities i and j is defined as the euclidean distance between the points  $p_i$  and  $p_j$ . A tour is a sequence of cities  $(i_1, \ldots, i_n)$  such that each city is visited exactly once (formally, it is a permutation of  $\{1, \ldots, n\}$ ). The task is to find a tour that minimizes the travelled distance. This

problem is probably costly to solve. We therefore aim for a tour that is at most twice as long as a minimal tour.

We can model this as a graph problem, using the graph G = (V, E, w) with  $V = \{p_1, \ldots, p_n\}$  and  $w(p_i, p_j) := \|p_i - p_j\|_2$ . Hence, G is undirected and complete and fulfills the triangle inequality, i.e., for any nodes x, y, z we have  $w(\{x, z\}) \leq w(\{x, y\}) + w(\{y, z\})$ . We aim for a tour  $(i_1, \ldots, i_n)$  such that  $w(p_{i_n}, p_{i_1}) + \sum_{j=1}^{n-1} w(p_{i_j}, p_{i_{j+1}})$  is small.

- (a) Let G be a weighted, undirected, complete graph that fulfills the triangle inequality. Show that the sequence of nodes obtained by a pre-order traversal of a minimum spanning tree (starting at an arbitrary root) is a tour that is at most twice as long as a minimal tour. (5 Bonus Points)
- (b) Implement an algorithm that computes the pre-order ordering of a minimum spanning tree of G. You may use the templates TSP.py and AdjacencyMatrix.py as well as python modules for heap and union-find data structures<sup>2</sup>. Transfer the graph given in cities.txt into an adjacency matrix and run your algorithm on it. Compute the sum of distances of your tour and attach this value to your solution.

  (10 Points)

### Sample Solution

(a) Let  $R = (i_1, \ldots, i_n)$  be a minimal tour and  $w(R) := w(p_{i_n}, p_{i_1}) + \sum_{j=1}^{n-1} w(p_{i_j}, p_{i_{j+1}})$ . Let T be an MST,  $w(T) := \sum_{e \in T} w(e)$  its weight and  $\mathcal{P}_T$  its pre-order sequence of nodes. As the graph is complete,  $\mathcal{P}_T$  is also a tour.

We add points to  $\mathcal{P}_T$  as follows: If two subsequent nodes u and v are not connected in T by a tree edge, we add between u and v all nodes on the shortest path from u to v in T (these are all nodes from u to the first common ancestor w and from there to v). We write  $\mathcal{P}'_T$  for the sequence that we obtain (this is formally not a tour as points are visited more than once).

In  $\mathcal{P}'_T$ , two subsequent nodes are neighbors in T, so we can consider this sequence as a sequence of edges in T. Each edge from T is contained in  $\mathcal{P}'_T$  exactly twice (if you go from the last point back to the root). Thus we have  $w(\mathcal{P}'_T) = 2\sum_{e \in T} w(e)$ . The triangle inequality implies  $w(\mathcal{P}_T) \leq w(\mathcal{P}'_T)$  and hence  $w(\mathcal{P}_T) \leq 2\sum_{e \in T} w(e)$ .

The minimal tour R defines a spanning tree  $T_R$  by taking the edges between subsequent nodes in R. As T is the minimum spanning tree we have  $w(T) \leq w(T_R) \leq w(T_R) + w(p_{i_n}, p_{i_1}) = w(R)$  and hence  $w(\mathcal{P}_T) \leq 2 \cdot w(R)$ .

Remark: The above argumentation also works for the post-order traversal. However, if you want the tour to start at a predefined point, it is easiest to use this point as the root of a pre-order traversal.

(b) Cf. TSP.py for our implementation. The calculated round trip of our solution has a length of 363.11 (however, the pre-order of the tree order and therefore the length of the resulting tour length is not always the same). Since the unique MST has a weight of 248.03, your own estimate should be at least 248.03 and at most 496.06 (see exercise part (a)). Cf. figure 1 for an illustration.

 $<sup>^{1}</sup>$ The Travelling Salesperson Problem is in the class of  $\mathcal{NP}$ -complete problems for which it is assumed that no algorithm with polynomial runtime exists. However, this has not been proven yet.

<sup>&</sup>lt;sup>2</sup>E.g., heapq and networkx.utils.union\_find. In heapq the function heappush corresponds to the insert operation and heappop to the delete-min operation from the lecture. You can also use heappush and heappop on Python-lists (more details here). If you instantiated an object uf of the class UnionFind, the command uf [i] creates a new set  $\{i\}$  if i does not exist in uf yet and else returns the representative of the set containing i (this combines the functions make-set and find from the lecture. More details here).

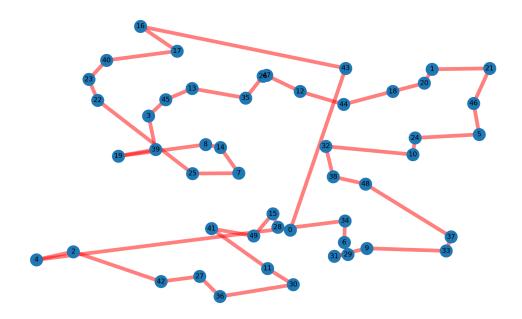


Figure 1: The approximated tour.