

# Theoretical Computer Science - Bridging Course

## Summer Term 2018

### Exercise Sheet 6

for getting feedback submit (electronically) before the start of the tutorial on  
3rd of December 2018.

#### Exercise 1: Semi-Decidable vs. Recursively Enumerable (5 Points)

Very often people in computer science use the terms *semi-decidable* and *recursively enumerable* equivalently. The following exercise shows in which way they actually are equivalent. We first recall the definition of both terms.

A language  $L$  is *semi-decidable* if there is a Turing machine which accepts every  $w \in L$  and does not accept any  $w \notin L$  (this means the TM can either reject  $w \notin L$  or simply not stop for  $w \notin L$ ).

A language is *recursively enumerable* if there is a Turing machine which eventually outputs every word  $w \in L$  and never outputs a word  $w \notin L$ .

- (a) Show that any recursively enumerable language is semi-decidable.
- (b) Show that any semi-decidable language is recursively enumerable.

#### Sample Solution

- (a) Let  $M_L$  be the TM which enumerates  $L$ . Construct a TM which, on input  $w$ , simulates  $M_L$ . If  $M_L$  outputs  $w$  the TM accepts  $w$ , otherwise it might run forever.
- (b) Let  $M_L$  be a TM which semi-decides  $L$ . We use a tricky simulation of  $M_L$  to construct a TM which recursively enumerates  $L$ . We order all words lexicographically  $w_1, w_2, w_3, \dots$  and then we simulate  $M_L$  as follows
  - 1) Simulate one step of  $M_L$  on  $w_1$
  - 2) Simulate one (further) step of  $M_L$  on  $w_1$  and  $w_2$
  - 3) Simulate one (further) step of  $M_L$  on  $w_1, w_2$  and  $w_3$
  - 4) Simulate one (further) step of  $M_L$  on  $w_1, w_2, w_3$  and  $w_4$
  - 5) etc.

#### Exercise 2: Halting Problem

(3+2+2+2 Points)

The *special halting problem* is defined as

$$H_s = \{\langle M \rangle \mid \langle M \rangle \text{ encodes a TM and } M \text{ halts on } \langle M \rangle\}.$$

(a) Show that  $H_s$  is undecidable.

*Hint: Assume that  $M$  is a TM which decides  $H_s$  and then construct a TM which halts iff  $M$  does not halt. Use this construction to find a contradiction.*

(b) Show that the special halting problem is recursively enumerable.

(c) Show that the complement of the special halting problem is not recursively enumerable.

*Hint: What can you say about a language  $L$  if  $L$  and its complement are recursively enumerable? (if you make some observation for this, also prove it)*

(d) Let  $L_1$  and  $L_2$  be recursively enumerable languages. Is  $L_1 \setminus L_2$  recursively enumerable as well?

(e) Is  $L = \{w \in H_s \mid |w| \leq 1742\}$  decidable? Explain your answer!

## Sample Solution

(a) Assume that  $H$  is decidable. Then there is a TM  $M$  which decides it. Now define a TM  $\tilde{M}$  as follows: The TM  $\tilde{M}$  on input  $w$  uses  $M$  to test whether  $w \in H$ . If  $w \in H$  it enters a non terminating loop, otherwise it terminates. We now apply  $\tilde{M}$  on input  $\langle \tilde{M} \rangle$  and construct a contradiction.

$\langle \tilde{M} \rangle \notin H$ : Then  $M$  rejects  $\langle \tilde{M} \rangle$ . Thus  $\tilde{M}$  terminates on  $\langle \tilde{M} \rangle$  by the definition of  $\tilde{M}$ . Thus  $\langle \tilde{M} \rangle \in H$ , a contradiction.

$\langle \tilde{M} \rangle \in H$ : Then  $M$  accepts  $\langle \tilde{M} \rangle$ , i.e.,  $\tilde{M}$  enters a non terminating loop on  $\langle \tilde{M} \rangle$  and does not halt on  $\langle \tilde{M} \rangle$  which means that  $\langle \tilde{M} \rangle \notin H$ , a contradiction.

(actually both cases are similar as in both cases  $\tilde{M}$  enters a non terminating loop and we do have the statement

$$\langle \tilde{M} \rangle \in H \Leftrightarrow \langle \tilde{M} \rangle \notin H.$$

(b) The special halting problem is semi-decidable because we can construct a TM which semi-decides it as follows: If the input is not a valid coding of a TM the TM rejects it. If the input is the coding of a TM  $M$  it simulates  $M$  on  $\langle M \rangle$  and accepts if this simulation stops.

With the previous exercise it follows that the halting problem is recursively enumerable.

(c) First note that if a language  $L$  and its complement are recursively enumerable the language  $L$  is a recursive language: Assume that  $L$  is recursively enumerable by TM  $M_1$  and its complement by TM  $M_2$ . Then we construct a TM which, on input  $w$  interchangeably simulates one step of  $M_1$  and one step of  $M_2$ . Eventually one of the two TMs will output  $w$ . If  $M_1$  outputs  $w$  we accept  $w$  and if  $M_2$  outputs  $w$  we reject  $w$ .

If the complement of the special halting problem was recursively enumerable, then  $H$  and its complement would be recursively enumerable. But then  $H$  would be a recursive language which is a contradiction.

(d) This does not hold in general. Let  $L_1 = \{0,1\}^*$  be the language of all words over  $\Sigma = \{0,1\}$  and let  $L_2$  be the special halting problem. Then  $L_1$  and  $L_2$  are recursively enumerable ( $L_1$  is even a recursive language) but  $L_1 \setminus L_2$  equals the complement of the special halting problem and is not recursively enumerable.

(e) Even though we do not know what the language is we know that all words in the language have length at most 1742, that is, the language is finite. So, no matter which words with length of at most 1742 are actually contained in the language there is even a deterministic finite automaton which tests for it, i.e., the language is even regular!

### Exercise 3: Undecidability

(5 Points)

Fix an enumeration of all Turing machines (that have input alphabet  $\Sigma$ ):  $\langle M_1 \rangle, \langle M_2 \rangle, \langle M_3 \rangle, \dots$

Fix also an enumeration of all words over  $\Sigma$ :  $w_1, w_2, w_3, \dots$

Prove that language  $L = \{w \in \Sigma^* \mid w = w_i, \text{ for some } i, \text{ and } M_i \text{ does not accept } w_i\}$  is not Turing recognizable.

*Hint: Try to find a contradiction to the existence of a Turing machine that recognizes  $L$ .*

### Sample Solution

Assume  $M$  is a Turing machine recognizing  $L$ . Then there is an  $i$  such that  $M = M_i$ . Now we run  $M = M_i$  on input  $w_i$  and show that both of the following cases lead to a contradiction:

Case 1 ( $M$  accepts  $w_i$ ): On the one hand this implies  $w_i \in L$  (as  $M$  recognizes  $L$ ), on the other hand it implies  $w_i \notin L$  (by the definition of  $L$ ), leading to a contradiction.

Case 2 ( $M$  does not accept  $w_i$ ): On the one hand this implies  $w_i \notin L$  (as  $M$  recognizes  $L$ ), on the other hand it implies  $w_i \in L$  (by the definition of  $L$ ), leading to a contradiction.

So in either case we get a contradiction. Therefore such a TM can not exist.